



University of Colorado
Foundation

Instructions for Electronic Transfer of Marketable Securities Stocks, Bonds and Mutual Funds

IMPORTANT: For transfer of non-marketable securities or paper stock certificates, please contact CUF Accounting.

CUF brokerage firm and DTC transfer information:

Foundation's Broker:

Austin E. Trevino, CFP
Financial Advisor
UBS Financial Services, Inc.
370 17th St., Suite 4100
Denver, CO 80202-5641
Email: austin.trevino@ubs.com
Voice: 303-820-5016
Fax: 855-463-2012

DTC transfer information:

Brokerage Firm: UBS Financial Services, Inc.
For Credit To: University of Colorado Foundation
Account Number: AB-06753-A0
DTC Number: 0221
Taxpayer Identification Number: 84-6049811

Depository Trust Company (DTC) is a central securities repository where marketable securities are exchanged. The DTC is a member of the Federal Reserve System.

Please help us be efficient and ensure that securities transfers are posted properly by emailing us at gifts@cufund.org to let us know that a stock transfer is forthcoming. Be sure to include the number and type of shares, expected transfer date, purpose, and any other relevant information. If you were working with a CU development officer, please feel free to copy them on your message. Thank you.

Please Note: for tax purposes, the Gift Date is recognized as the date shares enter the University of Colorado Foundation's clearing account at UBS. The gift will be valued on the average price (the mean between the highest and lowest selling prices) of the stock on the date received.